

COMPARATIVE STUDY OF THE PERFORMANCE CHARACTERISTICS OF GREEN InGaN SQW LASER DIODES WITH TERNARY AlGa_N AND QUATERNARY AlInGa_N ELECTRON BLOCKING LAYER

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The effect of built-in polarization on the performance characteristics of green InGa_N single quantum well (SQW) laser diode (LD) structures with ternary AlGa_N or quaternary AlInGa_N electron blocking layer (EBL) having the same bandgap energy, was investigated numerically. Simulation results indicated that using quaternary AlInGa_N EBL effectively improves the performance characteristics of green InGa_N SQW LDs. Using AlInGa_N EBL significantly reduces the built-in polarization and fixed polarization charge densities at the EBL and the last InGa_N barrier interface. Furthermore, using quaternary AlInGa_N EBL increases the radiative recombination and decreases the non-radiative recombination in the well. The laser structure with AlInGa_N EBL has lower threshold current, and higher output power, differential quantum efficiency (DQE) and slope efficiency compared with the laser structure with conventional AlGa_N EBL.

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1. Introduction

III-nitride-based green semiconductor laser diodes (LDs) have recently attracted much attention because of their essential application in full-color projectors, envisioned for introduction to the market in the future. III-nitride-based green LDs promise potential reduction in production costs, compactness, wider wavelength range access, and increased efficiency and reliability [1–3].

After the first demonstration of blue InGa_N laser diodes by Nakamura et al. [4], several groups such as Ryu et al. [5], Kuo et al. [6], and others investigated and developed III-nitride laser diodes to achieve lower (violet and ultraviolet) and longer (green) wavelengths experimentally and theoretically using different equipment and methods separately [6–10]. To follow this trend, in recent years, several researchers have published their results on longer wavelength laser diodes at 500 nm to 530 nm [1–4, 10–17]. Although these laser diodes have been realized by several groups, InGa_N green lasers is an emerging subject, and few studies have been carried out in terms of carrier behaviour and investigation of structural, optical, and electrical parameters affecting laser performance. Furthermore, the tendency of green laser diodes to reach greater performance and lower threshold current encourages researchers to continue investigating these diodes theoretically and experimentally.

Built-in electrostatic fields related to strain, piezoelectric and spontaneous polarizations play a very significant role in III-nitride based devices [18]. Piezoelectric and spontaneous

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polarizations are inherent problems in GaN-based alloys, which limit the development of III-nitride based optoelectronic devices [19]. The built-in electrostatic field causes strong deformation of quantum wells and their adjoining layers, resulting in separate electron and hole wave functions in quantum wells that lead to the decrease in photon emission rate and quantum efficiency [19, 20]. Built-in polarization and, consequently, built-in electric field due to large polarization charges at the InGaN barrier and the AlGaIn electron blocking layer (EBL) interface is an important issue that significantly influences laser threshold current [21].

Using quaternary AlInGaIn layer instead of AlGaIn is one of the methods employed in controlling built-in polarization and electrostatic field. Choosing an appropriate Al and In composition in the quaternary AlInGaIn layers allows the possibility of controlling both piezoelectric and spontaneous polarizations [19]. Quaternary alloys also offer excellent potential for fabrication of lattice-matched III-nitride layers by controlling the bandgap energy and lattice parameter [22]. Many studies have concentrated on using quaternary AlInGaIn EBL, instead of ternary AlGaIn EBL, in the laser structures. Experimental studies in various areas, such as ultraviolet [23], violet wavelength near 405 nm [22–24], violet wavelength near 415 nm [25], and blue wavelength [26] indicated that using quaternary AlInGaIn EBL significantly improves laser performance and decreases laser threshold current.

In the current research, a comparative study on the performance characteristics of green InGaIn single quantum well (SQW) LDs employing a ternary AlGaIn and a quaternary AlInGaIn EBL having output emission wavelengths from 500 nm to 510 nm was conducted using Integrated System Engineering Technical Computer Aided Design (ISE TCAD) software. The distribution of the electron and hole carrier densities, radiative recombination, as well as their roles on laser performance for both structures were also presented. The built-in polarization effects due to the use of ternary AlGaIn and quaternary AlInGaIn EBL at the laser InGaIn barrier and EBL interface were also investigated.

2. Laser structure and simulation parameters

The fundamental laser structure under study was extracted from a real laser structure fabricated by Adachi et al., which was grown through metal organic chemical vapour deposition [1, 2]. As shown in Fig. 1., the optimized quantum well laser structure which is used in the study includes an n-type GaN layer, an n-type InGaIn compliance layer, an n-type AlInGaIn quaternary cladding layer, an n-type GaN waveguiding layer, an InGaIn/InGaIn SQW active region, a p-type $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ electron blocking layer (EBL), a p-type GaN waveguiding layer, a p-type AlInGaIn quaternary cladding layer, and a p-type GaN contact layer [1, 2]. The SQW active region was selected based on Nakamura et al., which consists of a 4 nm $\text{In}_{0.3}\text{Ga}_{0.7}\text{N}$ wells sandwiched between two 10 nm $\text{In}_{0.03}\text{Ga}_{0.97}\text{N}$ barriers [3]. The doping concentrations of n- and p-type layers are 1×10^{18} and 5.5×10^{18} , respectively. The laser area is $3 \mu\text{m} \times 350 \mu\text{m}$, and the reflectivities of the back and front mirrors are equal to 80% and 95%, respectively [1,2].

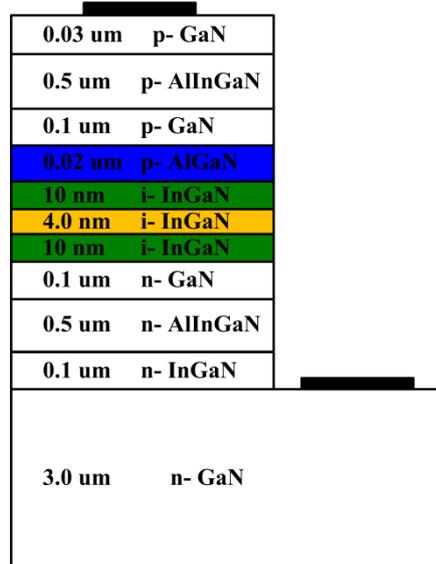


Fig. 1. Schematic diagram of the green InGaN SQW laser structure under study

Several sets of equations, including the Schrodinger, Poisson, photon-rate, current continuity, and scalar wave equations, were solved in the laser simulation process using the two-dimensional ISE TCAD simulator. The ISE TCAD simulator also includes a carrier drift-diffusion model that contains Fermi statistics and incomplete ionization [12].

The physical parameters of the ternary and the quaternary alloys used in the simulation were interpolated by binary alloys that can be expressed by the following equation:

$$Q_{Al_xIn_yGa_{1-x-y}N} = x \cdot Q_{AlN} + y \cdot Q_{InN} + (1-x-y) \cdot Q_{GaN}, \quad (1)$$

where Q_{InN} , Q_{GaN} , and Q_{AlN} are the physical parameters of InN, GaN, and AlN, such as effective masses, refractive index, and others as listed in Table 1 [8, 12]. The above equation applies to all physical parameters except for band gap energy, which can be expressed by the following equations [8,12]:

$$E_g(AlInGaN) = \frac{xyE_g^u(AlInN) + yzE_g^v(InGaN) + xzE_g^w(AlGaN)}{xy + yz + zx}, \quad (2)$$

$$E_g^u(AlInN) = u \cdot E_g(InN) + (1-u) \cdot E_g(AlN) - u \cdot (1-u)b(AlInN), \quad (3)$$

$$E_g^v(InGaN) = v \cdot E_g(GaN) + (1-v) \cdot E_g(InN) - v \cdot (1-v)b(InGaN), \quad (4)$$

$$E_g^w(AlGaN) = w \cdot E_g(GaN) + (1-w) \cdot E_g(AlN) - w \cdot (1-w)b(AlGaN), \quad (5)$$

$$u = \frac{1-x+y}{2}, \quad v = \frac{1-y+z}{2}, \quad w = \frac{1-x+z}{2}, \quad (6)$$

where x , y , and $z = 1-x-y$ are the compositions of aluminium, indium, and gallium in the AlInGaN, respectively. $E_g(InN)$, $E_g(GaN)$, and $E_g(AlN)$ are the band gap energies of InN, GaN, and AlN, respectively, while $b(AlInN)$, $b(InGaN)$, and $b(AlGaN)$ are band gap bowing parameters of AlInN, InGaN, and AlGaN which are 2.5, 1.4, and 0.7, respectively [12].

Built-in polarization, induced in the III-nitride materials due to spontaneous and piezoelectric polarizations, is a problem that affects laser performance and must not be neglected. The spontaneous polarization of ternary and quaternary III-nitride alloys can be calculated using Eq. (2). The spontaneous polarization of ternary III-nitride alloys can also be expressed by the following equations [3, 10, 12, 16]:

$$P_{sp}(Al_xGa_{1-x}N) = -0.090x - 0.034(1-x) + 0.019x(1-x) \quad (7)$$

$$P_{sp}(In_xGa_{1-x}N) = -0.042x - 0.034(1-x) + 0.038x(1-x) \quad (8)$$

$$P_{sp}(Al_xIn_{1-x}N) = -0.090x - 0.042(1-x) + 0.071x(1-x) \quad (9)$$

The piezoelectric polarization of ternary and quaternary III-nitride alloys can be estimated by the following expression [3,10,16]:

$$P_{pz}(Al_xIn_yGa_{1-x-y}N) = x \cdot P_{pz}(AlN) + y \cdot P_{pz}(InN) + (1-x-y) \cdot P_{pz}(GaN) \quad (10)$$

where

$$P_{pz}(AlN) = -1.808\varepsilon + 5.624\varepsilon^2, \quad \text{for } \varepsilon < 0 \quad (11)$$

$$P_{pz}(AlN) = -1.808\varepsilon - 7.888\varepsilon^2, \quad \text{for } \varepsilon > 0 \quad (12)$$

$$P_{pz}(GaN) = -0.918\varepsilon + 9.541\varepsilon^2 \quad (13)$$

$$P_{pz}(InN) = -1.373\varepsilon + 7.559\varepsilon^2 \quad (14)$$

$$\varepsilon = (a_0 - a_a) / a_a \quad (15)$$

where $\varepsilon = \varepsilon_{xx} = \varepsilon_{yy}$ is the epitaxial growth plane strain tensor, which has an important function when layers are grown on top of each. a_0 and a_a are the lattice constants of the substrate and the top epitaxial layers, respectively. The perpendicular strain tensor can also be calculated by the following equation [3, 10, 12, 16]:

$$\varepsilon_{zz} = -\frac{2C_{13}}{C_{33}} \varepsilon_{xx} \quad (16)$$

where C_{13} and C_{33} are the elastic stiffness constants.

The total polarization of the layer can be estimated as

$$P_{total}(Al_xIn_yGa_{1-x-y}N) = P_{sp}(Al_xIn_yGa_{1-x-y}N) + P_{pz}(Al_xIn_yGa_{1-x-y}N) \quad (17)$$

At the abrupt interface of a top/bottom layer heterostructure, total polarization causes a fixed polarization charge and, consequently, an electric field, which depends on the top- and bottom-layer thicknesses.

$$\sigma = (P_{tot}^b - P_{tot}^t)(L_t + L_b) / (L_t\varepsilon_b + L_b\varepsilon_t) \quad (18)$$

$$F_t = L_b(P_{tot}^b - P_{tot}^t) / [\varepsilon_0(L_t\varepsilon_b + L_b\varepsilon_t)] \quad (19)$$

where P_{tot}^t and P_{tot}^b are the total polarization, L_t and L_b are the thicknesses, and ε_t and ε_b are the relative dielectric constants of the top and bottom layers, respectively, which can be interpolated by binary alloys [3,12, 20, 21]. The binary materials parameters used in this work are listed in Table 1 [12].

Table 1. Room temperature properties of binary III-N materials [12]

Parameters	GaN	AlN	InN
Bandgap energy E_g (eV)	3.47	6.28	0.8
Electron affinity (eV)	4.1	1.9	5.8
Lattice constant a_o ($^{\circ}A$)	3.189	3.112	3.545
Refractive index near E_g	2.506	2.035	2.9
Electron effective mass	$0.22 m_e$	$0.4 m_e$	$0.11 m_e$
Heavy hole effective mass	$1.595 m_e$	$2.68 m_e$	$1.449 m_e$
Light hole effective mass	$0.261 m_e$	$0.261 m_e$	$0.157 m_e$

3. Simulation results and discussion

Fig. 2 shows the spontaneous polarization, piezoelectric polarization, and the total polarization charges as a function of Al or In mole fraction of the ternary AlGa_{1-x}N and InGa_{1-x}N layers grown on a typical GaN layer. The AlGa_{1-x}N and InGa_{1-x}N epitaxial layers have tensile and compressive strains, respectively, compared with a typical GaN layer. The piezoelectric polarization behaviours of AlGa_{1-x}N and InGa_{1-x}N with increasing Al and In mole fractions are opposite. Although the spontaneous polarization of both AlGa_{1-x}N and InGa_{1-x}N show the same behaviours (negative), the total polarization behaviour of AlGa_{1-x}N and InGa_{1-x}N is the same as the piezoelectric polarization. Therefore, choosing an appropriate Al and In composition as quaternary AlInGa_{1-x-y}N alloy can control the built-in polarization at the interface of the AlInGa_{1-x-y}N layer and InGa_{1-x}N or GaN layer.

Using the quaternary AlInGa_{1-x-y}N EBL instead of the ternary AlGa_{1-x}N EBL is one of the methods employed in increasing laser performance. By choosing appropriate Al and In composition in the quaternary AlInGa_{1-x-y}N EBL, the built-in polarization effects and the strain can be controlled. To investigate the effect of quaternary EBL on the performance characteristics of InGa_{1-x}N green SQW laser, the ternary Al_{0.2}Ga_{0.8}N EBL was replaced with quaternary AlInGa_{1-x-y}N EBL with Al composition from 0.22 to 0.32 and with the corresponding In composition having EBLs with bandgap energy identical to that of Al_{0.2}Ga_{0.8}N EBL (Fig. 3).

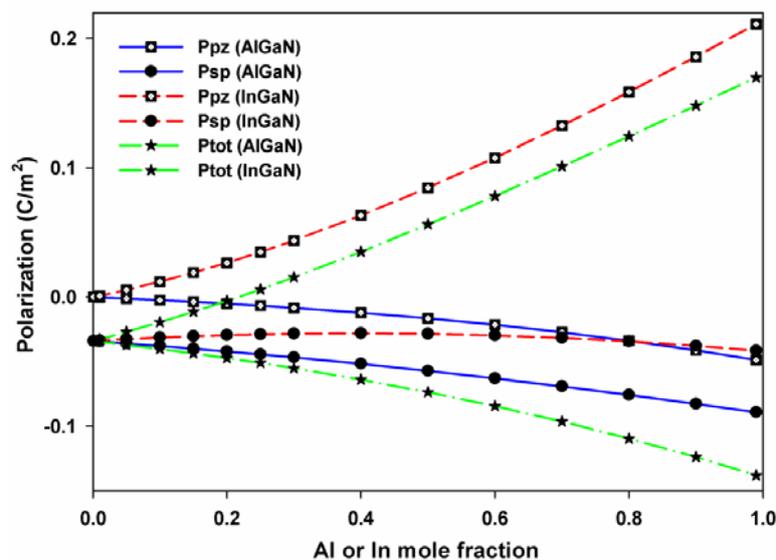


Fig. 2. Spontaneous polarization, piezoelectric polarization and total polarization charges as a function of Al or In mole fraction of the ternary AlGa_{1-x}N and InGa_{1-x}N layers grown on a typical GaN layer.

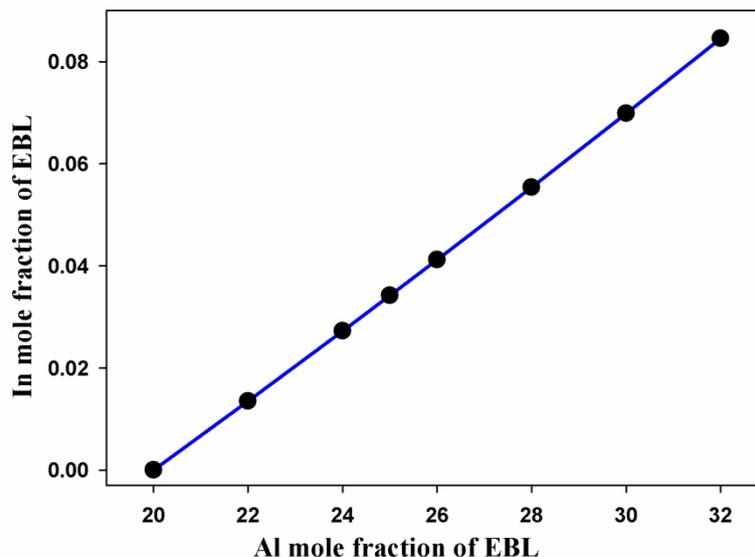


Fig. 3. In mole fraction of quaternary AlInGaN EBL as a function of Al mole fraction to attain a 3.92 eV bandgap of $Al_{0.2}Ga_{0.8}N$ EBL

Fig. 4 shows the spontaneous polarization, piezoelectric polarization, total polarization, and polarization charge densities at the EBL and last InGaN barrier interface as a function of Al mole fraction of the quaternary AlInGaN EBL. Fig. 4 (a)–(c) shows that increasing the Al mole fraction increases the In mole fraction spontaneous polarization and decreases the piezoelectric and total polarizations. The piezoelectric polarization decreases with the increase in the Al mole fraction of quaternary EBL up to 0.29; it increases again thereafter. Fig. 4(d) shows that the polarization charge densities at the EBL and last InGaN barrier interface decrease with the increase in Al mole fraction. Fig. 5 shows the strain at the EBL and last InGaN barrier interface as a function of the Al mole fraction of quaternary AlInGaN EBL. A compressive strain is observed in the ternary $Al_{0.2}Ga_{0.8}N$ EBL, which decreases with the use of quaternary AlInGaN EBL with Al mole fraction from 0.22 to 0.29. The strain becomes tensile and increases when the Al mole fraction of quaternary EBL is increased to more than 0.29.

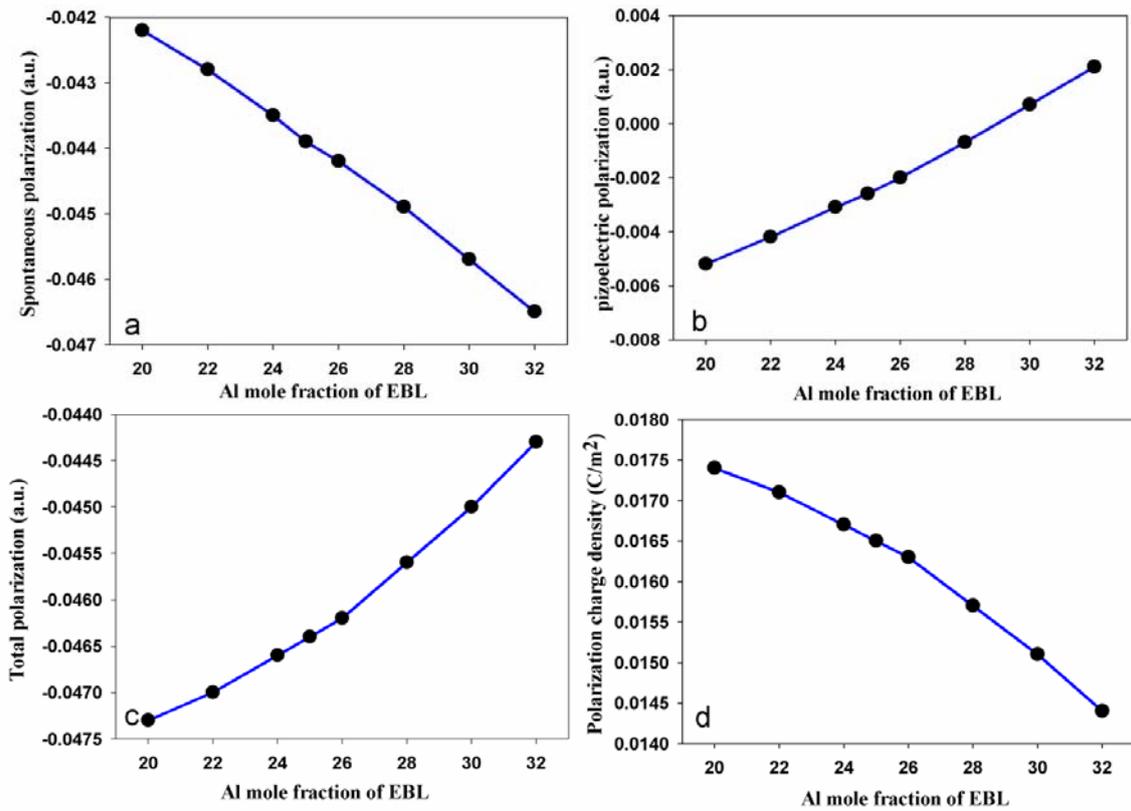


Fig. 4. Spontaneous polarization, piezoelectric polarization, total polarization, and polarization charge densities at the EBL and last InGa_N barrier interface as a function of Al mole fraction of the quaternary AlInGa_N EBL.

The quaternary AlInGa_N EBL with a 0.25 Al mole fraction was used in the laser structure based on the built-in polarization effects, polarization charges, and resulting electric fields. Fig. 6 shows the conduction- and valence-band energies and the electron and hole Fermi levels of the InGa_N green SQW lasers with ternary AlGa_N and quaternary AlInGa_N EBL. The slightly deformed conduction-band profile near the quaternary AlInGa_N EBL and the last InGa_N barrier interface is due to the decreasing polarization charge densities and their corresponding electric fields. Lower electron accumulation is observed when quaternary AlInGa_N EBL is used compared with the use of ternary AlGa_N EBL. The valence-band profile is also deformed near the quaternary AlInGa_N EBL and the last InGa_N barrier interface, which results in higher hole accumulation.

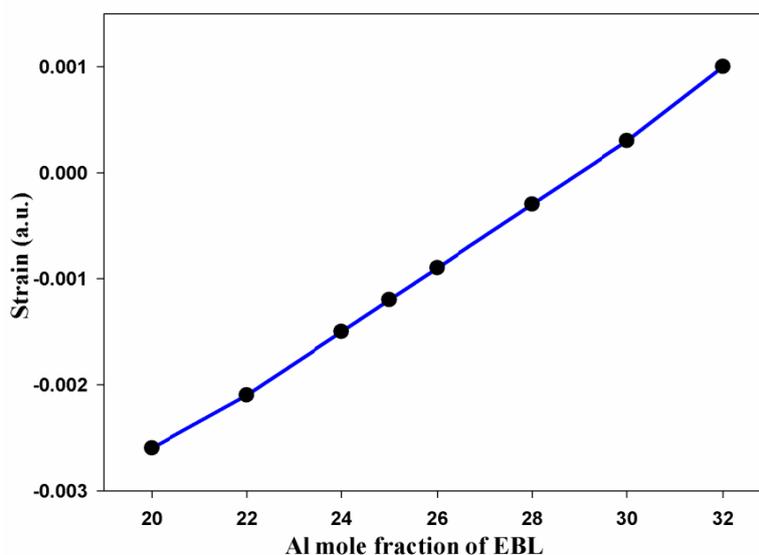


Fig. 5. Strain interface of EBL and last InGaN barrier as a function of Al mole fraction of quaternary AlInGaN EBL.

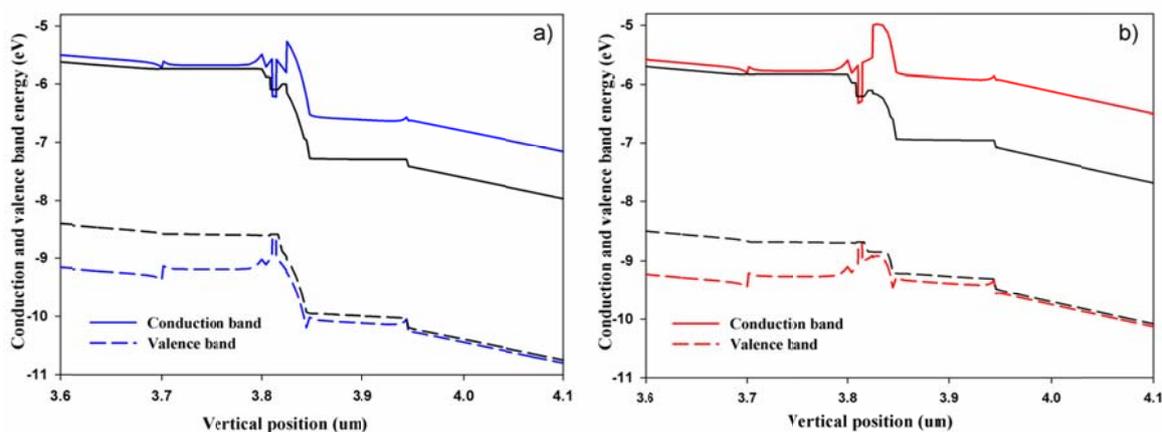


Fig. 6. Conduction- and valence- band energies of InGaN green SQW lasers with (a) ternary AlGaN and (b) quaternary AlInGaN EBL

The electron and hole densities of green InGaN SQW LDs with ternary AlGaN and quaternary AlInGaN EBL are shown in Fig. 7. The deformation in the conduction and valence bands due to reduced built-in electric field using quaternary EBL changes the electron and hole densities in the well. Increasing the hole concentration in the well, as well as considering their longer escape time due to their higher mass compared with electrons, causes higher radiative electron-hole recombination in the well. Fig. 8 shows the radiative, non-radiative, and total recombination rates for green InGaN SQW LDs with ternary AlGaN and quaternary AlInGaN EBL. Using the quaternary AlInGaN EBL increases the radiative electron and hole recombination, decreases non-radiative recombination, and increases the total recombination in the well. Increasing the radiative electron and hole recombination in the well decreases the number of electrons escaping from the well and injected to the p-side region. Therefore, the electron current injected in the well is reduced through recombination with the hole, and the electron current overflow of the well to the p-side region is reduced.

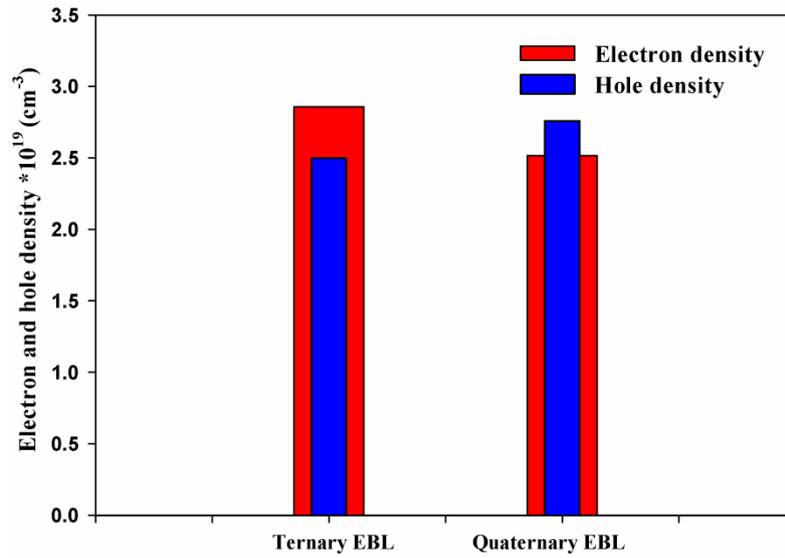


Fig. 7. Electron and hole densities of green InGaN SQW LDs with ternary AlGaIn and quaternary AlInGaIn EBL

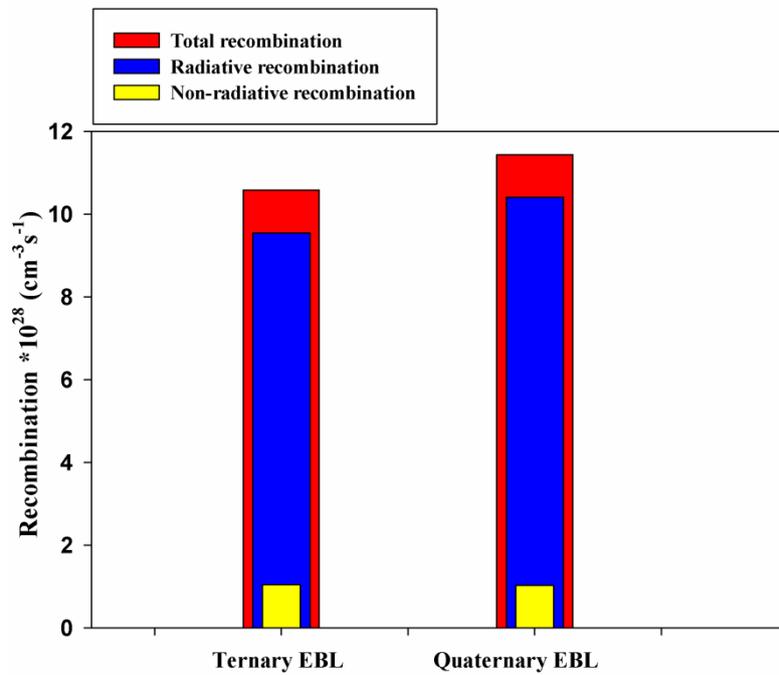


Fig. 8. Radiative, non-radiative, and total recombination rates for green InGaN SQW LDs with ternary AlGaIn and quaternary AlInGaIn EBL

Enhancing radiative recombination in the well using the quaternary AlInGaIn EBL also increases the optical output power. On the other hand, using the quaternary AlInGaIn EBL increases the optical confinement factor (OCF) because of more carrier accumulation in the active region. The increase in OCF and optical output power as optical intensity is shown in Fig. 9.

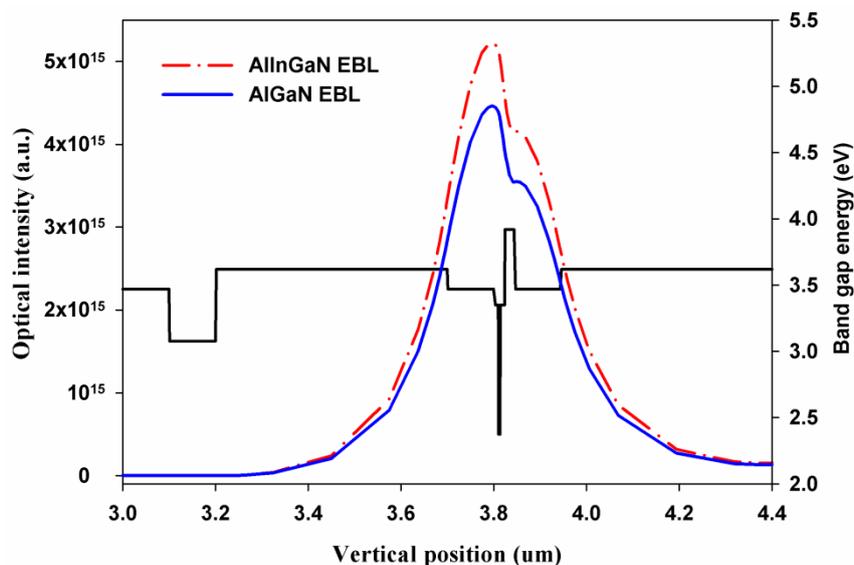


Fig. 9. Optical intensity and bandgap energy profile of green InGaN SQW LDs with a ternary AlGaN and a quaternary AlInGaN EBL.

Fig. 10 shows the light-current ($L-I$) and voltage-current ($V-I$) characteristics of the green InGaN SQW lasers with ternary AlGaN and quaternary AlInGaN EBL. Using the quaternary AlInGaN EBL significantly decreases the laser threshold current compared with that using AlGaN EBL because of reduced electron current overflow from the active region to the p-side region. Employing quaternary AlInGaN EBL also increases the output power, slope efficiency, and external quantum efficiency compared with using AlGaN EBL. The performance characteristics of the green InGaN SQW lasers with ternary AlGaN and quaternary AlInGaN EBL are summarized in Table 2.

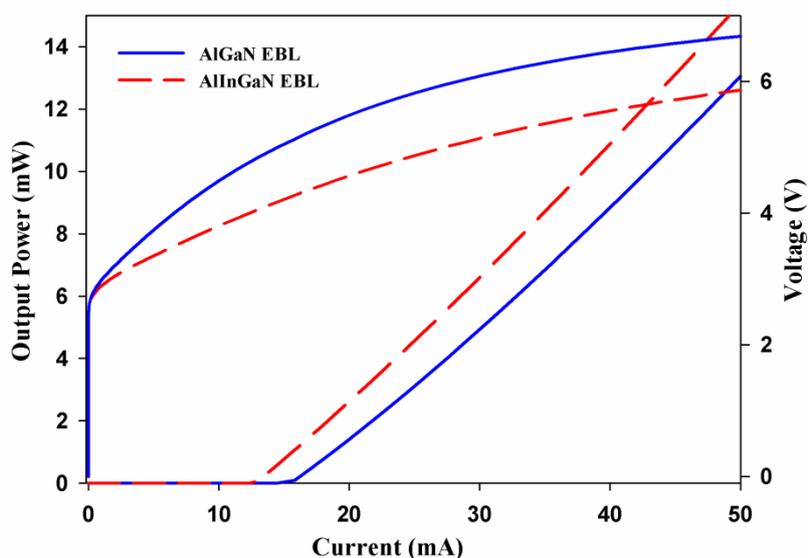


Fig. 10. $L-I$ and $V-I$ characteristics of the green InGaN SQW lasers with ternary AlGaN and quaternary AlInGaN EBL

Table 2. Threshold current, slope efficiency, output power, and DQE of the green InGaN SQW LDs with ternary AlGaIn and quaternary AlInGaIn EBL.

EBL	Threshold current (mA)	Slope efficiency (W/A)	Output power (mW)	DQE (%)
AlGaIn	15.539	0.444	27.134	36.327
AlInGaIn	12.797	0.464	29.811	38.087

Figure 11 shows the threshold current and output power of the green InGaN SQW laser as a function of Al mole fraction of EBL. Using the quaternary EBL significantly decreases the threshold current and increases the output power. Increasing the Al mole fraction results in slightly higher output power and lower threshold current.

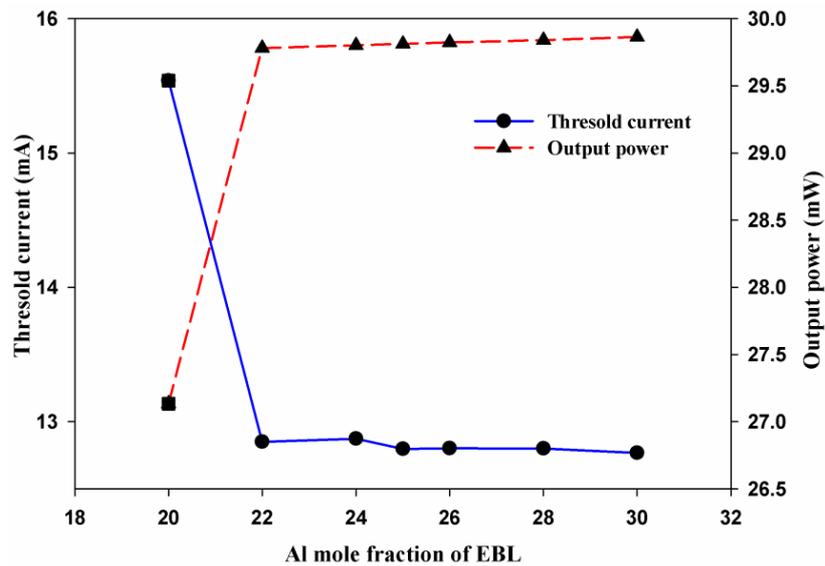


Fig. 11. Threshold current and output power of the green InGaN SQW laser as a function of Al mole fraction of EBL.

4. Conclusion

The performance characteristics of green InGaN SQW LDs employing ternary AlGaIn and quaternary AlInGaIn EBL with emission wavelengths from 500 nm to 510 nm have been investigated using ISE TCAD software. Simulation results indicated that using quaternary AlInGaIn EBL significantly reduces the built-in polarization and polarization charge density at the EBL and InGaIn barrier interface and also effectively improves the performance characteristics of green InGaIn SQW LDs. Moreover, using the quaternary AlInGaIn EBL increases radiative recombination and decreases non-radiative recombination in the active region. The laser structure with AlInGaIn EBL has a lower threshold current and higher output power, differential quantum efficiency, and slope efficiency compared with the laser structure using conventional AlGaIn EBL.

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